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| FORM PTO 1449 (modified)  |  | ATTY DOCKET NO.<br><b>1702.401600</b>         |  | APPLICATION NO.<br><b>09/674,913</b>   |  |
| U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                    |  | APPLICANT<br><b>GUSTAV GAUDERNACK, ET AL.</b> |  |  |  |
| LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary) |  |   |  |  |  |
| Dated: February 8, 2001<br>Nat'l. Entry From: PCT/NO99/00141                  |  | FILING DATE<br><b>Novemb r 8, 2000</b>        |  | GROUP <b>K647</b><br><b>Unassigned</b> |  |

  

| U.S. PATENT DOCUMENTS |                 |      |      |       |          |                            |
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| *EXAMINER INITIAL     | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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|                          | DOCUMENT NUMBER    | DATE           | COUNTRY     | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
| <b>CDU</b>               | <b>WO 98/45322</b> | <b>10/1998</b> | <b>WIPO</b> |       |          | <b>Y s</b>                      |
| <b>CDU</b>               | <b>WO 97/12992</b> | <b>4/1997</b>  | <b>WIPO</b> |       |          | <b>Y s</b>                      |
| <b>CDU</b>               | <b>WO 95/32731</b> | <b>12/1995</b> | <b>WIPO</b> |       |          | <b>Yes</b>                      |
| <b>CDU</b>               | <b>WO 92/14756</b> | <b>9/1992</b>  | <b>WIPO</b> |       |          | <b>Y s</b>                      |
| <b>CDU</b>               | <b>WO 99/58552</b> | <b>11/1999</b> | <b>WIPO</b> |       |          | <b>Yes</b>                      |

  

| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) |   |
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| <b>CDU</b>   | <b>van Leeuwen et al., (1998, January), Science 279:242-247, "Frameshift Mutants of <math>\beta</math> Amyloid Precursor Protein and Ubiquitin-B in Alzheimer's and Down Patients"</b>  |
| <b>CDU</b>   | <b>Vogel, (1998), Science 279:174, "Possible New Cause of Alzheimer's Disease Found"</b>  |
| <b>CDU</b>   | <b>Gaudernack, (1996), Immunotechnology 2:3-9, "T cell responses against mutant <i>ras</i>: a basis for novel cancer vaccines"</b>  |
| <b>CDU</b>   | <b>Gjertsen et al. (1996), Int. Journal of Cancer 65:450-453, "Ex Vivo <i>ras</i> Peptide Vaccination in Patients with Advanced Pancreatic Cancer: Results of a Phase I/II Study"</b>   |
| <b>CDU</b>   | <b>Gjertsen et al. (1997), Int. J. Cancer 72:784-790, "Cytotoxic CD4<sup>+</sup> and CD8<sup>+</sup> T-Lymphocytes, Generated by Mutant p21-<i>ras</i> (12Val) Peptide Vaccination of a Patient, Recognize ... Tumour Cells Carrying This Mutation"</b> |
| <b>CDU</b>   | <b>Gjertsen et al. (1998) Vox Sanguinis 74(suppl. 2): 489-495, "Mutated Ras Peptides as Vaccines in Immunotherapy of Cancer"</b>  |

  

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| EXAMINER<br><b>g. theil</b> | DATE CONSIDERED<br><b>11/20/2002</b> |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| FORM PTO 1449 (modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary)<br><br>Dated: February 8, 2001<br>Nat'l. Entry From: PCT/NO99/00141 |  | ATTY DOCKET NO.<br><b>1702.401600</b>         |  | APPLICATION NO.<br><b>09/674,913</b>  |  |
|   |  | APPLICANT<br><b>GUSTAV GAUDERNACK, ET AL.</b> |  |                                       |  |
|   |  | FILING DATE<br><b>Nov mber 8, 2000</b>        |  | GROUP <b>16A</b><br><b>Unassigned</b> |  |

  

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| <b>CJN</b>   | <b>Terry et al., Alzheimer Disease, 1994, pp. 179-196, "Structural Basis of the Cognitiv Alterations in Alzheimer Disease".</b>                           |
| <b>CJN</b>   | <b>Barinaga, Science, vol. 257, 1992, pp. 880-881, "Getting Some 'Backbone': How MHC Binds Peptides".</b>   |
| <b>DERES</b>   | <b>Deres et al., Nature, vol. 342, 1989, pp. 561-564, "In vivo priming of virus-specific cytotoxic T lymphocytes with synthetic lipopeptide vaccine".</b> |
| <b>CJN</b>   | <b>Tighe et al., Immun. Today, vol. 19(2), 1998, pp. 89-97, "Gene vaccination: plasmid DNA is more than just a blueprint".</b>                            |
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| EXAMINER<br> | DATE CONSIDERED<br><b>11/20/2002</b> |
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